

Pool Participant Application Form

Alberta Electric System Operator

3000, 240 4 Avenue SW

Calgary, AB • T2P 4H4

aeso.ca

Applicant

Insert company legal name

(the “Applicant”) hereby applies to the ISO to be
registered as a pool participant

Applicant’s operating name: _____

If different from legal name

Eligibility

The Applicant is applying for registration as a pool participant by virtue of its status as;

(check one or more, as applicable)

legal owner of a generating unit

legal owner of an industrial system pursuant to the Hydro and Electric Energy Act

ancillary service provider (system support services that include operating reserves, transmission must run, black start, and load shed scheme services)

retailer (competitive)

retailer (regulated rate provider)

self-retailer (buys electricity at wholesale prices directly from the Power Pool)

default supplier (per the Alberta Utilities Commission Rule 021 Settlement System Code)

marketer (an importer, exporter or person trading within Alberta, registering net settlement instructions)

other (subject to specific ISO approval) _____ (provide details)

Assets

The Applicant has completed and attached the applicable Asset Addition Form available on the AESO website.

Prudential Requirements

The Applicant has reviewed and fully understands the prudential requirements of the ISO rules.

Agent Appointment Request Form

The Applicant:

is appointing an agent and has attached a completed Agent Appointment Request Form,
OR
is not appointing an agent.

Annual Participation Fee

The Applicant has enclosed with this application its first annual pool participation fee in accordance with the ISO Fee Schedule

Contact Information

Mailing Address: _____

Corporate Contact

(The Applicant hereby appoints the corporate contact as its representative for purposes of the Energy Trading System and authorizes such corporate contact to act on its behalf, including the authority to authorize digital certificate requests and revocations, update contact and address information, and make other changes to the Applicant's information)

Contact name: _____

Telephone Number: _____

Email address: _____

Financial Settlement Contact

Contact name: _____

Telephone Number: _____

Email address: _____

Compliance Contact

Contact name: _____

Telephone Number: _____

Email address: _____

Banking Information

The Applicant has completed and attached the Request for Banking Information form available on the AESO website.

Applicant's Confirmation and Agreement

The undersigned, being an authorized officer of the Applicant, hereby agrees to undertake to notify the AESO as soon as reasonably practical of any changes to the information contained herein.

DATED the _____ day of _____, 20 _____

Name: _____

Signature: _____

The collection of your personal information is authorized under Section 4(a) & (c) of the Protection of Privacy Act, Section 20(1) of the Electric Utilities Act and Sections 201.1 and 201.2 of the ISO Rules. The information collected is required to register for and determine eligibility as a pool participant and eligibility to appoint an agent to participate in market activities. If you have any questions about the collection or use of this information, please contact the Privacy Officer, Law, 30th Floor, 3000, 240 – 4th Ave SW, Calgary, Alberta, T2P 4H4, by email at privacy@aeso.ca or by telephone at 403-539-2890.

For AESO use only

Application has been approved.

DATED: _____

Name: _____

PER: _____